

INDICATE BY LETTER AND NO.
REASON(S) FOR FILING _____
(see instructions "WHO MUST FILE")

ANNUAL DISCLOSURE REPORT
FILING FOR
CALENDAR YEAR 20 12

NEW YORK CITY
CONFLICTS OF INTEREST BOARD
2 LAFAYETTE ST

LAST NAME <u>Spitzer</u>		FIRST <u>Eric</u>	M.I. <u>E</u>	LAST 4 DIGITS OF SOCIAL SECURITY NO. <u>[REDACTED]</u>		
HOME ADDRESS (NO., STREET, APT. #) <u>985 5th Ave</u>		HOME TEL. NO. (area code)	CITY <u>NY</u>	COUNTY <u>NY</u>	STATE <u>NY</u>	ZIP CODE <u>10075</u>
AGENCY/DEPARTMENT/OTHER <u>candidate for comptroller</u>			AGENCY CODE NO.		BUSINESS TEL. NO. ()	
BUSINESS ADDRESS (NO., STREET)			COUNTY		STATE ZIP CODE	
POSITION/TITLE			MARITAL STATUS: ☐ SINGLE ☒ MARRIED ☐ DIVORCED ☐ WIDOW/WIDOWER ☐ DOMESTIC PARTNER ☐ LEGALLY SEPARATED			
SPOUSE OR DOMESTIC PARTNER (IF APPLICABLE)						
LAST NAME <u>Wall</u>		FIRST <u>Silda</u>	M.I. <u>A</u>	MAIDEN NAME (WHEN APPLICABLE)		
LIST THE NAMES OF ALL UNEMANCIPATED CHILDREN						
LAST NAME <u>Spitzer</u>		FIRST <u>Myra</u>	LAST <u>Spitzer</u>	FIRST <u>Sarah</u>	LAST <u>Spitzer</u>	FIRST <u>Deena</u>
LAST NAME		FIRST	LAST	FIRST	LAST	FIRST

☒ CHECK BOX IF YOU ARE FILING AN ANNUAL DISCLOSURE REPORT BECAUSE YOU ARE A CANDIDATE FOR ELECTION TO ANY OF THE FOLLOWING OFFICES:

- ☐ MAYOR OF THE CITY OF NEW YORK
- ☐ PUBLIC ADVOCATE
- ☒ COMPTROLLER
- ☐ DISTRICT ATTORNEY OF _____ COUNTY
- ☐ BOROUGH PRESIDENT OF _____ COUNTY
- ☐ COUNCIL MEMBER FROM THE _____ COUNCIL DISTRICT
- ☐ LOCAL POLITICAL PARTY OFFICIAL

ANNUAL DISCLOSURE REPORT
FILING FOR CALENDAR YEAR 20 _____

B

NEW YORK CITY
CONFLICTS OF INTEREST BOARD
2 LAFAYETTE STREET
NEW YORK, NEW YORK 10007

LAST NAME Spitzer	FIRST Eliot	M.I. C
AGENCY/DEPARTMENT/OTHER Candidate for comptroller		AGENCY CODE NO.
POSITION/TITLE		
BUSINESS ADDRESS (NO., STREET) 730 5th Ave 2nd flr		BUSINESS TELEPHONE NO. (212) 765 5770
COUNTY NY	STATE NY	ZIP CODE 10019

☒ CHECK BOX IF YOU ARE FILING AN ANNUAL DISCLOSURE REPORT BECAUSE YOU ARE A CANDIDATE FOR ELECTION TO ANY OF THE FOLLOWING OFFICES:

- ☐ MAYOR OF THE CITY OF NEW YORK
- ☐ PUBLIC ADVOCATE
- ☒ COMPTROLLER
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- ☐ LOCAL POLITICAL PARTY OFFICIAL

Candidate

WHAT IS THE ANNUAL DISCLOSURE LAW?

NEW YORK CITY'S ANNUAL DISCLOSURE LAW, SECTION 12-110 OF THE CITY'S ADMINISTRATIVE CODE, REQUIRES THAT SOME 12,000 NEW YORK CITY EMPLOYEES AND ELECTED OFFICIALS FILE ANNUAL REPORTS OF THEIR FINANCIAL AFFAIRS, AS WELL AS THE FINANCIAL AFFAIRS OF THEIR SPOUSES OR DOMESTIC PARTNERS AND DEPENDENT CHILDREN.

THE PURPOSE OF THE ANNUAL DISCLOSURE LAW IS TO PROVIDE ACCOUNTABILITY ON THE PART OF THE PUBLIC SERVANTS, AND TO HELP ENSURE THAT THERE ARE NO PROHIBITED CONFLICTS OF INTEREST BETWEEN CITY EMPLOYEES' OFFICIAL RESPONSIBILITIES AND PRIVATE INTERESTS.

THE LAW IS ADMINISTERED AND ENFORCED BY THE NEW YORK CITY CONFLICTS OF INTEREST BOARD, AS MANDATED BY THE NEW YORK CITY CHARTER.

IMPORTANT NOTICE

DISCLOSURE OF YOUR ASSETS AND LIABILITIES ON THIS FORM IS REQUIRED FOR COMPLIANCE WITH SECTION 12-110 OF THE ADMINISTRATIVE CODE BUT DOES NOT NECESSARILY SATISFY THE REQUIREMENTS OF THE CONFLICTS OF INTEREST PROVISIONS OF CHAPTER 68 OF THE CITY CHARTER. THUS, FOR EXAMPLE, IF YOU HAVE OR SEEK AN OWNERSHIP INTEREST IN OR POSITION WITH A FIRM WHICH DOES BUSINESS WITH THE CITY, YOU MUST SEND A SEPARATE LETTER TO THE CONFLICTS OF INTEREST BOARD REQUESTING AN OPINION AS TO WHETHER SUCH INTEREST IS OR WOULD BE PROHIBITED BY CHAPTER 68. YOU MAY NEED PERMISSION FROM THE HEAD OF YOUR AGENCY AND A WAIVER FROM THE BOARD, OR AN ORDER OF THE BOARD.

PART I

QUESTIONS 1 THROUGH 19 ARE TO BE COMPLETED WITH RESPECT TO THE PERSON REQUIRED TO FILE AN ANNUAL DISCLOSURE REPORT.

TO ENSURE THAT YOU COMPLETE THIS FORM FULLY AND ACCURATELY, IT IS NECESSARY THAT YOU READ ALL INSTRUCTIONS CAREFULLY. YOU ARE RESPONSIBLE FOR PROVIDING ALL INFORMATION REQUESTED BY EACH QUESTION AND FOR COMPLYING WITH ALL INSTRUCTIONS.

Question 1 -Your City Employment

Report any employment or service with the City. Also report any income of \$1,000 or more received from such employment or service during the reporting year.

If your response to this question is "None", check this box.

See instructions on page xiii

[illegible]

Whenever a Question requires a "value" or "amount", report it as being within one of the following categories:
A=\$1,000 to under \$5,000; B=\$5,000 to under \$44,000; C=\$44,000 to under \$60,000; D=\$60,000 to under \$100,000; E=\$100,000 to under \$250,000; F=\$250,000 to under \$500,000; G=\$500,000 or over.

PART I

Question 2 - Identification of Your Non-City Employer or Business

Report any non-City employment and any business you engaged in during the reporting year. Also report if your non-City employment or business: (i) was licensed or regulated by any State or local agency; or (ii) had business dealings with, or non-ministerial matters before, a State or local agency.

If your response to this question is "None", check this box.

☐

See instructions on pages xiii and xiv

Position	Name and Address of Employer or Business	Nature of Business or Employment	Name of State or Local Agency Where Applicable
Executive	Spitzer Enterprises 730 5th Ave NY NY	Investing	Any agency with jurisdiction over redemptive
News Anchor	CurrentTV no longer exists	broadcasting	-
Guest/Host	New York One	broadcasting	-
author	State Magazine	writing	-
speaking	various paid speaking engagements	-	-

Whenever a Question requires a "value" or "amount", report it as being within one of the following categories:

A=\$1,000 to under \$5,000; B=\$5,000 to under \$44,000; C=\$44,000 to under \$60,000; D=\$60,000 to under \$100,000; E=\$100,000 to under \$250,000; F=\$250,000 to under \$500,000; G=\$500,000 or over.

PART I

Question 3 - Regulated Professions

If you practice law, are a licensed real estate broker or agent, or practice a profession licensed by the Department of Education, give a general description of the principal subject areas of matters undertaken during the reporting year.

If you practice with a firm or corporation and are a partner or shareholder of the entity, also give a general description of the principal subject areas of matters undertaken by such firm or corporation during the reporting year.

If your response to this question is "None", check this box.

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See instructions on page xiv

Albines - not practicing

Whenever a Question requires a "value" or "amount", report it as being within one of the following categories:

A=\$1,000 to under \$5,000; B=\$5,000 to under \$44,000; C=\$44,000 to under \$60,000; D=\$60,000 to under \$100,000; E=\$100,000 to under \$250,000; F=\$250,000 to under \$500,000; G=\$500,000 or over.

PART I

Question 4 – Positions Held By You

Report each office, position, trusteeship, directorship and partnership, other than political positions, you held during the reporting year. Also report if such office or position was licensed or regulated by a State or local agency; or had business dealings with, or non-ministerial matters before, a State or local agency.

If your response to this question is "None", check this box.

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See instructions on pages xiv and xv

[illegible]

Whenever a Question requires a "value" or "amount", report it as being within one of the following categories:
A=\$1,000 to under \$5,000; B=\$5,000 to under \$44,000; C=\$44,000 to under \$60,000; D=\$60,000 to under \$100,000; E=\$100,000 to under \$250,000; F=\$250,000 to under \$500,000; G=\$500,000 or over.

PART I

Question 5 – Political Positions Held By You

Report each political office, position or membership on a political party committee which you held during the reporting year.

If your response to this question is "None", check this box.



See instructions on page xv

Position

Organization

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Whenever a Question requires a "value" or "amount", report it as being within one of the following categories:
A=\$1,000 to under \$5,000; B=\$5,000 to under \$44,000; C=\$44,000 to under \$60,000; D=\$60,000 to under \$100,000; E=\$100,000 to under \$250,000; F=\$250,000 to under \$500,000; G=\$500,000 or over.

PART I

Question 6 – Your Non-City Income

Report the nature and amount of any income of \$1,000 or more, excluding City salary reported in Part I, Question 1, received from each source during the reporting year. Under source, list the name of the firm, organization, financial institution, individual or other entity from which the income was received.

If your response to this question is "None", check this box. ☐

See instructions on pages xv, xvi, and xvii

Source	Nature	Category of Amount
Spitzer Enterprises	compensation as executive	E
CUN	contract for post service	G
985 5th Ave	process for ownership	G
800 5th Ave	process for ownership	G
220 East 72nd St	process for ownership	G
210 Avenue	process for ownership	G
Spitzer-Holman Ave	process for ownership	G
NY One	pay for broadcasting	B
State		A
Various speaking engagements	pay for speeches	D

Whenever a Question requires a "value" or "amount", report it as being within one of the following categories:

A=\$1,000 to under \$5,000; B=\$5,000 to under \$44,000; C=\$44,000 to under \$60,000; D=\$60,000 to under \$100,000; E=\$100,000 to under \$250,000; F=\$250,000 to under \$500,000; G=\$500,000 or over.

PART I

Question 7 – Your Deferred Income

Report any deferred income of \$1,000 or more from each source that you earned or derived during the reporting year, but which was paid or will be paid after the close of the reporting year.

If your response to this question is "None", check this box.



See instructions on page xvii

[illegible]

Whenever a Question requires a "value" or "amount", report it as being within one of the following categories:
A=\$1,000 to under \$5,000; B=\$5,000 to under \$44,000; C=\$44,000 to under \$60,000; D=\$60,000 to under \$100,000; E=\$100,000 to under \$250,000; F=\$250,000 to under \$500,000; G=\$500,000 or over.

PART I

Question 8 – Reimbursement of Your Expenses

Report if a non-governmental entity or person reimbursed you for travel-related expenses in an amount of \$1,000 or more for activities related to your official duties with the City.

If your response to this question is "None", check this box.



See instructions on pages xvii and xviii

[illegible]

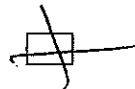
Whenever a Question requires a "value" or "amount", report it as being within one of the following categories:
A=\$1,000 to under \$5,000; B=\$5,000 to under \$44,000; C=\$44,000 to under \$60,000; D=\$60,000 to under \$100,000; E=\$100,000 to under \$250,000; F=\$250,000 to under \$500,000; G=\$500,000 or over.

PART I

Question 9 – Gifts To You

Report any gift or gifts you received from the same donor, other than a relative, in the total amount or with a value of \$1,000 or more.

If your response to this question is "None", check this box.



See instructions on pages xviii and xix

[illegible]

Whenever a Question requires a "value" or "amount", report it as being within one of the following categories:
A=\$1,000 to under \$5,000; B=\$5,000 to under \$44,000; C=\$44,000 to under \$60,000; D=\$60,000 to under \$100,000; E=\$100,000 to under \$250,000; F=\$250,000 to under \$500,000; G=\$500,000 or over.

PART I

Question 10 – Your Transfers of Money or Property

Report each assignment of income of \$1,000 or more to any person, including a relative, during the reporting year. Also report each transfer of an interest having a value of \$1,000 or more in a trust, estate, other beneficial interest, securities or real estate to a non-relative for less than fair consideration.

If your response to this question is "None", check this box.

See instructions on page xix

[illegible]

Whenever a Question requires a "value" or "amount", report it as being within one of the following categories:
A=\$1,000 to under \$5,000; B=\$5,000 to under \$44,000; C=\$44,000 to under \$60,000; D=\$60,000 to under \$100,000; E=\$100,000 to under \$250,000; F=\$250,000 to under \$500,000; G=\$500,000 or over.

PART I

Question 11 – Your Agreements With Former Employers

Report any agreements that you have with a former employer for the continuation of payments or benefits to you of \$1,000 or more.

If your response to this question is "None", check this box.

11

See instructions on page xx

[illegible]

Whenever a Question requires a "value" or "amount", report it as being within one of the following categories:

A=\$1,000 to under \$5,000; B=\$5,000 to under \$44,000; C=\$44,000 to under \$60,000; D=\$60,000 to under \$100,000; E=\$100,000 to under \$250,000; F=\$250,000 to under \$500,000; G=\$500,000 or over.

PART I

Question 12 – Your Agreements For Future Employment

Report any promise or agreement you have with any person or entity with respect to your employment after leaving your City office or position.

If your response to this question is "None", check this box.

See instructions on page xx



Parties to the Promise or Agreement

Terms of the Promise or Agreement

[illegible]

Whenever a Question requires a "value" or "amount", report it as being within one of the following categories:

A=\$1,000 to under \$5,000; B=\$5,000 to under \$44,000; C=\$44,000 to under \$60,000; D=\$60,000 to under \$100,000; E=\$100,000 to under \$250,000; F=\$250,000 to under \$500,000; G=\$500,000 or over.

PART I

Question 13 – Your Interest in Government Contracts

Report any interest of \$1,000 or more, excluding bonds and notes, in any contract made or executed by a State or local agency.

If your response to this question is "None", check this box.

See instructions on pages xx and xxi

[illegible]

Whenever a Question requires a "value" or "amount", report it as being within one of the following categories:
A=\$1,000 to under \$5,000; B=\$5,000 to under \$44,000; C=\$44,000 to under \$60,000; D=\$60,000 to under \$100,000; E=\$100,000 to under \$250,000; F=\$250,000 to under \$500,000; G=\$500,000 or over.

PART I

Question 14 – Your Interests in Trusts, Estates, and Other Beneficial Interests

Report any interest of \$1,000 or more in a trust or estate or other beneficial interest.

If your response to this question is "None", check this box.

See instructions on pages xxi and xxii

[illegible]

Whenever a Question requires a "value" or "amount", report it as being within one of the following categories:
A=\$1,000 to under \$4,999; B=\$5,000 to under \$44,000; C=\$44,000 to under \$60,000; D=\$60,000 to under \$100,000; E=\$100,000 to under \$250,000; F=\$250,000 to under \$500,000; G=\$500,000 or over.

PART I

Question 15 – Your Investments in A Business

Report each investment of \$1,000 or more which you held in a business.

If your response to this question is "None", check this box.

7

See instructions on page xxii

[illegible]

Whenever a Question requires a "value" or "amount", report it as being within one of the following categories:
A=\$1,000 to under \$5,000; B=\$5,000 to under \$44,000; C=\$44,000 to under \$60,000; D=\$60,000 to under \$100,000; E=\$100,000 to under \$250,000; F=\$250,000 to under \$500,000; G=\$500,000 or over.

PART I

Question 16 – Your Securities

Report each security having a market value of \$1,000 or more which you held at the close of the reporting year.

If your response to this question is "None", check this box.

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See instructions on pages xxiii and xxiv

[illegible]

Whenever a Question requires a "value" or "amount", report it as being within one of the following categories:
A=\$1,000 to under \$5,000; B=\$5,000 to under \$44,000; C=\$44,000 to under \$60,000; D=\$60,000 to under \$100,000; E=\$100,000 to under \$250,000; F=\$250,000 to under \$500,000; G=\$500,000 or over.

PART I

Question 17 – Your Real Estate

Report any real estate in which you have a vested or contingent interest valued at \$1,000 or more. Include your primary or secondary residence only if it is co-owned with a non-relative.

If your response to this question is "None", check this box.

☐

See instructions on pages xxiv and xxv

Address	Size	General Nature	Acquisition Date	Percentage of Your Ownership	Category of Value of the Property
985 5th Ave.		residential property	2010	10%	G
800 5th Ave.		"	2010	3.75%	G
220 E. 72nd St.		"	2010	10%	G
210 Assoc.		"	2010	5%	G
Spitzer House -		rehab property	1999	63%	G
Colonia Conch		private	2006	50%	G
property		residence			

Whenever a Question requires a "value" or "amount", report it as being within one of the following categories:

A=\$1,000 to under \$5,000; B=\$5,000 to under \$44,000; C=\$44,000 to under \$60,000; D=\$60,000 to under \$100,000; E=\$100,000 to under \$250,000; F=\$250,000 to under \$500,000; G=\$500,000 or over.

PART I

Question 18 – Money Owed To You

Report each loan, note or account receivable in the amount of \$1,000 or more owed to you during the reporting year, i.e., who owed you money and how much.

If your response to this question is "None", check this box.



See instructions on page xxv

[illegible]

Whenever a Question requires a "value" or "amount", report it as being within one of the following categories:

A=\$1,000 to under \$5,000; B=\$5,000 to under \$44,000; C=\$44,000 to under \$60,000; D=\$60,000 to under \$100,000; E=\$100,000 to under \$250,000; F=\$250,000 to under \$500,000; G=\$500,000 or over.

PART I

Question 19 – Money You Owe

Report each creditor (other than a relative):

- (1) to whom you owed \$5,000 or more for a period of 90 consecutive days during the reporting year; or
- (2) to whom you owe \$5,000 or more on the date you file this report.

If your response to this question is "None", check this box.

See instructions on pages xxv and xxvi

[illegible]

Whenever a Question requires a "value" or "amount", report it as being within one of the following categories:
A=\$1,000 to under \$5,000; B=\$5,000 to under \$44,000; C=\$44,000 to under \$60,000; D=\$60,000 to under \$100,000; E=\$100,000 to under \$250,000; F=\$250,000 to under \$500,000; G=\$500,000 or over.